

FE Self Regulation

Consultation Events

Sir George Sweeney

Chair: FE Self Regulation Implementation Group

Format of the Day

- Introduction - George Sweeney
- The Phase 1 Proposition and Issues for Consultation – Cath Hardaker
- Plenary Question Session – George Sweeney and Cath Hardaker
- Round Table Discussions on Issues for Consultation
- Feedback on Issues

Background

- Bureaucracy Task Force - 2002-2004
- AoC Self Regulation Group - 2004-2006
- White Paper - March 2006
- Secretary of State's Challenge to the Further Education Sector - November 2006
- Leitch Report – December 2006
- Self Regulation Implementation Group – December 2006

Self Regulation Implementation Group

- A broad coalition of the internal and external interests that form the further education sector
- Providers (professional and governance), learners, employers and stakeholders (e.g LSC, QIA, NAO, Ofsted)
- Independent members with experience of self regulation in banking and finance
- To advise on a proposition to the Secretary of State for a rigorous and effective system of self regulation
- To advise on an action plan to make the proposition a reality

Timetable

- Phase 1 proposition sent to the Secretary of State - early March 2007
- Presentation to ministers – late March 2007?
- Consultation events and website - March 2007; a positive response means
- *The Secretary of State agrees to support further development*
- *Implementation plan – July/August 2007*
- *Pilots/prototypes to be trialled during 2007/2008*

Why Self Regulation?

Why we need your support..?

- **Self Regulation will give further education the best chance to:**
 - significantly improve the life-chances of our learners
 - achieve enduring excellence
 - enrich and extend our relationships with employers, communities and other partners
 - strengthen leadership and governance
 - forge a new partnership with government and external agencies
 - reduce bureaucracy and respond to the public service reform agenda
 - transform our reputation and status
 - accelerate the full realisation of our potential as the engine of national prosperity and social justice.

Cath Hardaker
PKF

External advisers to the Self Regulation
Implementation Group

**Phase 1 Proposition and Issues for
Consultation**

Phase 1 Proposition

- The construction of the Phase 1 proposition has been based upon :
 - Regulatory guiding principles
 - FE Sector guiding principles
 - Radicalism
 - A practical implementation strategy
- The proposition is a far reaching model to test the appetite for and understanding of what self regulation means

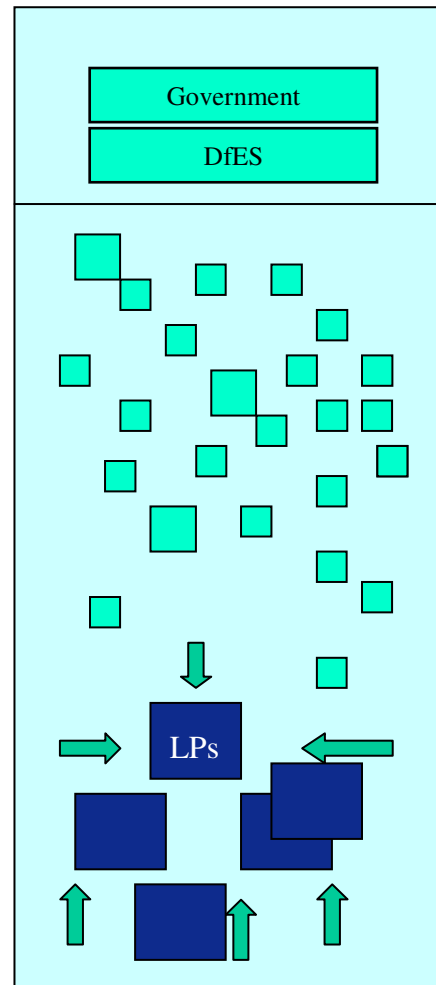
Regulatory guiding principles

- To be thorough, fair and efficient
- To protect the interests of the users of the regulated services
- To provide clear and agreed standards of provision, leadership, professionalism, probity, and propriety; and then to monitor and enforce them
- To be proportional, accountable, consistent, transparent and targeted
- To promote confidence, better awareness and understanding

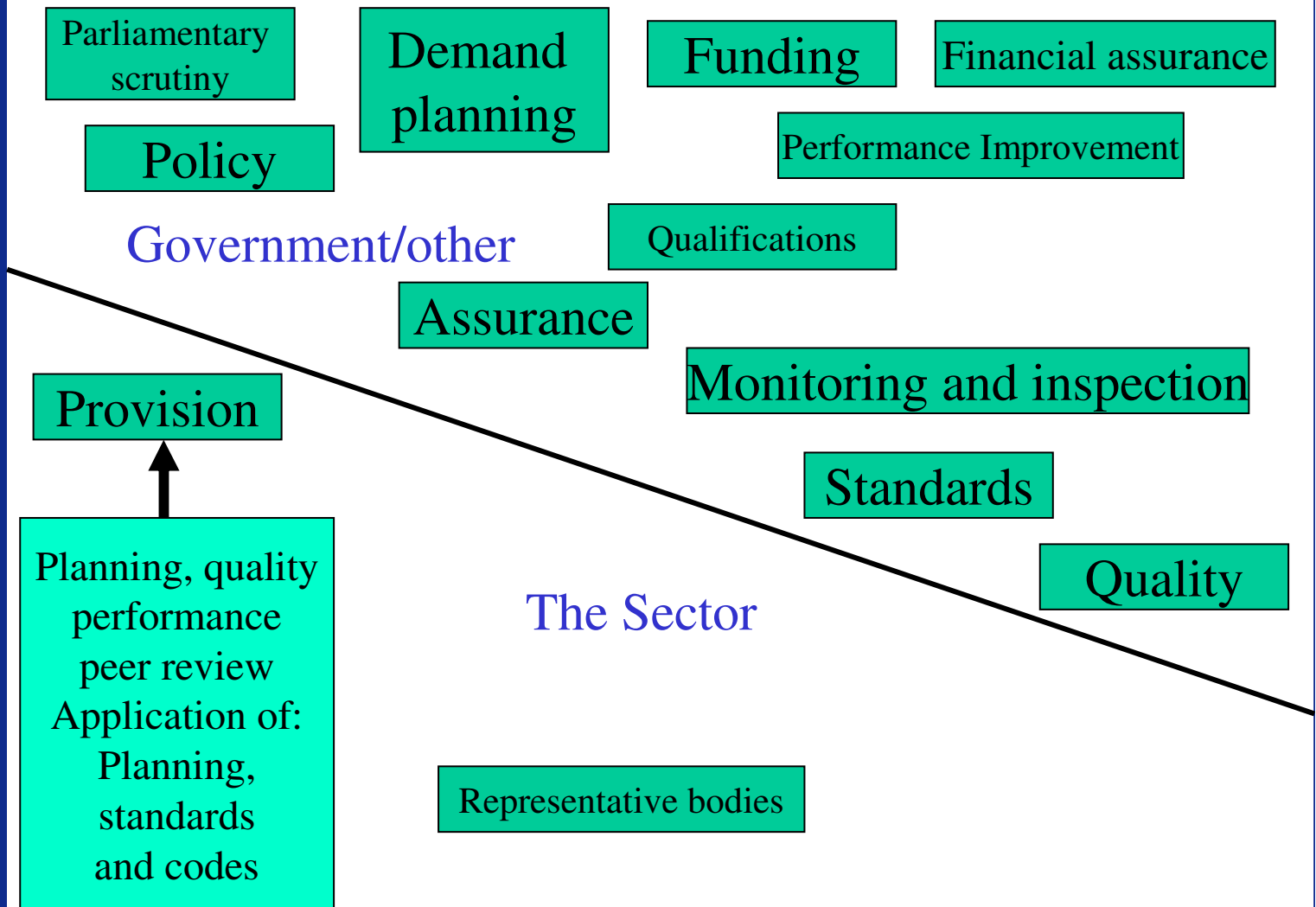
FE Sector SR guiding principles

- To complement, support and strengthen government reforms, in particular, simplification and reducing bureaucracy
- The regulatory framework as a whole must be rigorous.
- A self regulating sector will work in partnership with relevant statutory bodies
- Synergy between intelligent self regulation aligned with an even lighter touch Ofsted and a more strategic LSC
- New policy requirements will be more quickly, innovatively and creatively implemented
- Government's policy objectives, learners', employers', and UK Plc's economic needs are met continuously over time
- The sector assumes direct accountability for performance, quality and continuous improvement and its own reputation
- Continuous improvement to become consistent, trusted and world class
- The sector is fully aligned with Public Sector Reform
- Poor and declining performance is tackled quickly and effectively and either eliminated or improved

The current FE landscape



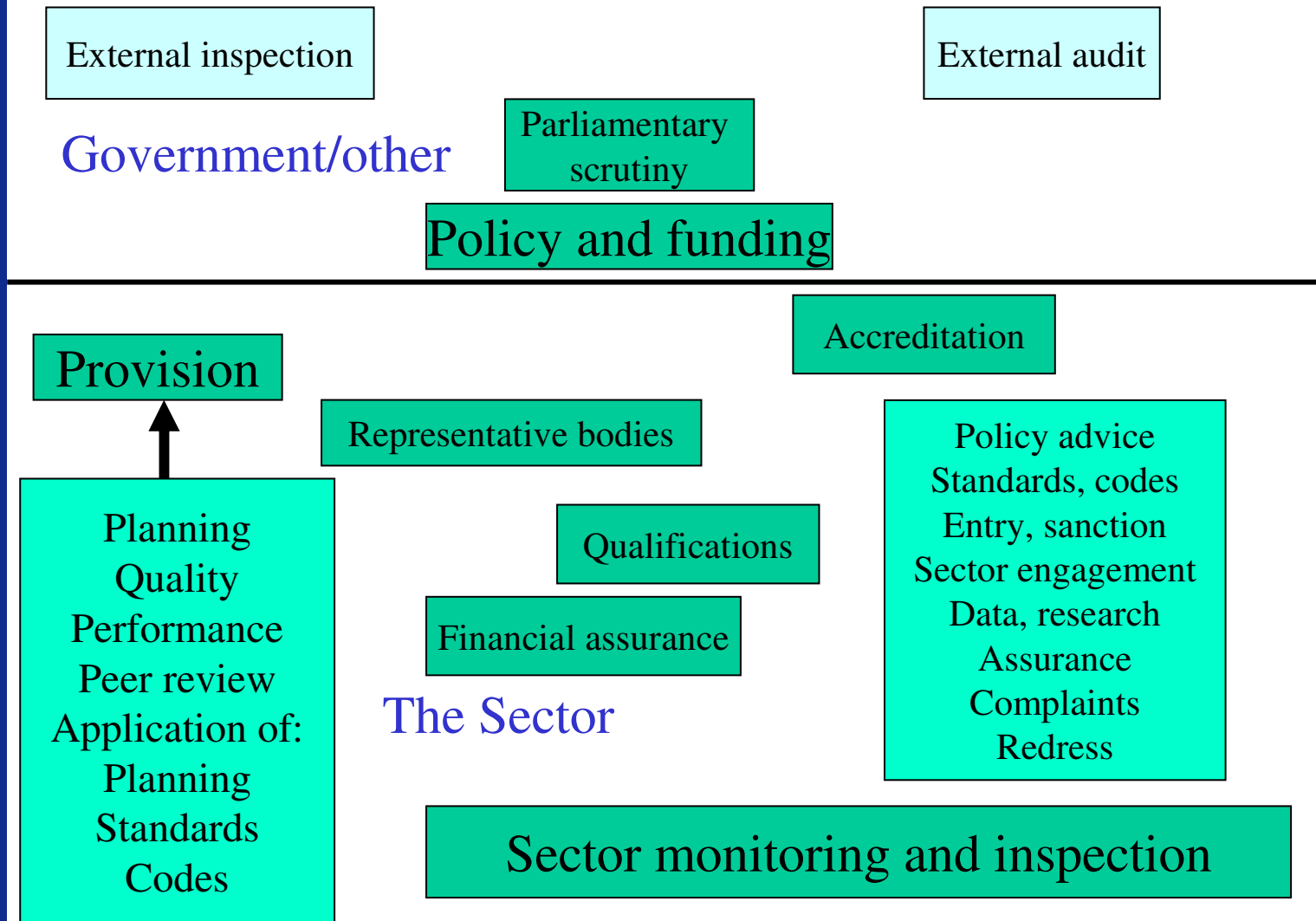
The current FE landscape



Far Reaching Design Proposition

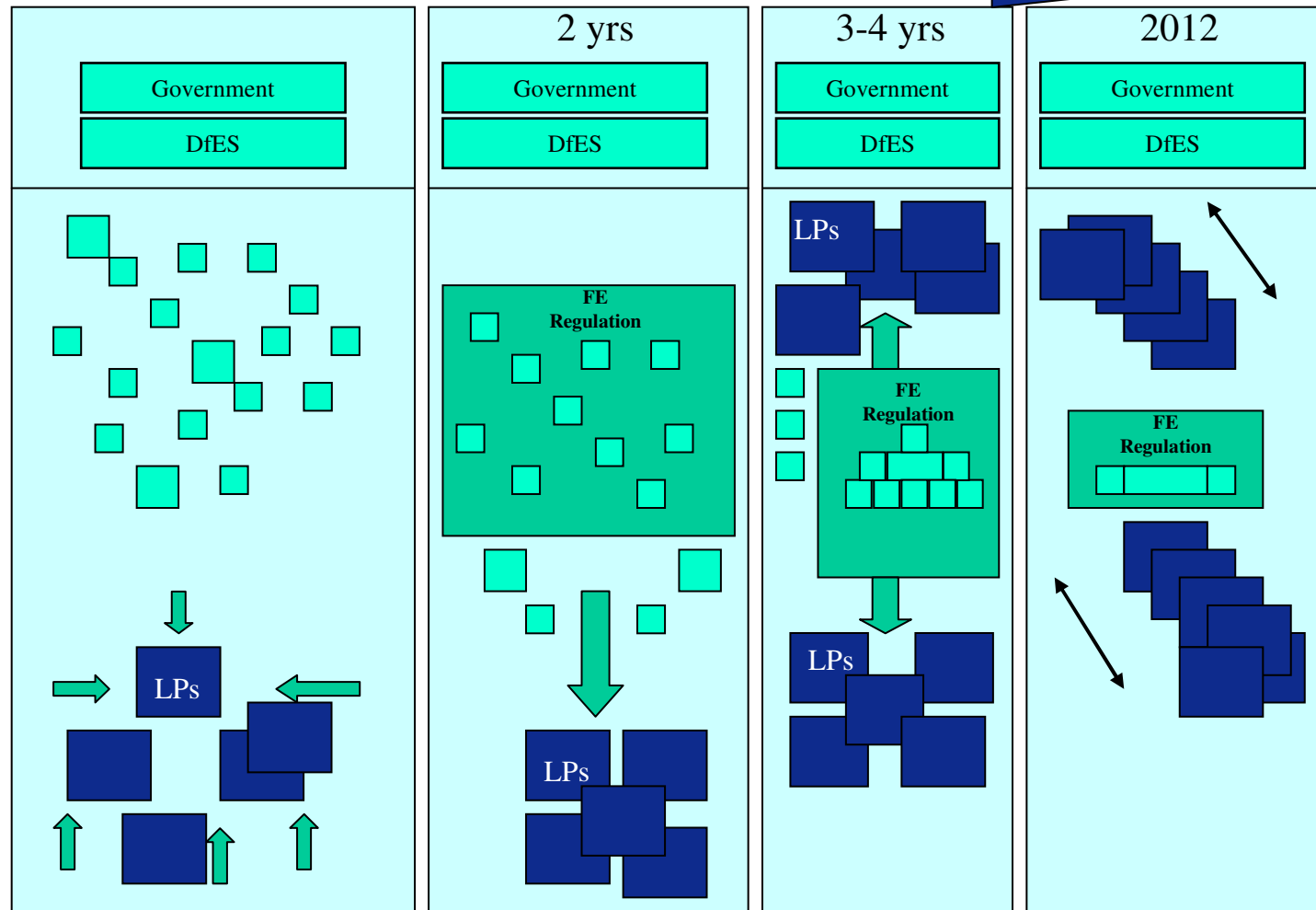
- Proposition is radical by design
- Therefore there are two key variables for agreement:
 - Actual degree of radicalism; and
 - Implementation timetable
- Design principles are:
 - The fewer players within the sector the better (streamlined)
 - All regulatory activity is undertaken within the sector
 - Where a regulatory function is required, but is not currently undertaken, it will be the responsibility of the sector
 - Form should follow function

Future far reaching proposition



FE Regulation direction of travel

Form follows function, and design presumes 'clustering':



Next steps

Step	Output	How/By When
1	Endorsement and continued support from the Secretary of State	<ul style="list-style-type: none"> Ongoing
2	Outcomes of further consultation with the sector and with stakeholders	<ul style="list-style-type: none"> Four national consultation events in March Website consultation based upon the proposition Consultation outcomes report in early April 2007
3	Outline implementation plan	<ul style="list-style-type: none"> Spring 2007
4	Implications analysis	<ul style="list-style-type: none"> Financial and operational analysis of the functions of current organisations and the detailed implications for them of our recommendations, to validate the benefits outlined in our proposition By June 2007
5	Design of regulatory and other functions	<ul style="list-style-type: none"> Detailed description of how regulatory and other functions should operate given the output from the analysis of Step 4 above By July 2007
6	Full and detailed first phase implementation plan	<ul style="list-style-type: none"> Based on the analysis and design work in steps 4 and 5 above By the end of the academic year 2006/2007

Consultation documentation

- You have copies today of:
 - Stand alone, summary document with 8 questions
 - Answers template:
 - *Post*
 - *Email*
 - *Web returns*
 - *Fax*

Consultation questions

- **QUESTION 1: Are all the key objectives covered?**
- **QUESTION 2: Subject to validation, do you agree with this 'direction of travel'?**
- **QUESTION 3: Is the current landscape described fairly?**
- **QUESTION 4: Which functions should be undertaken by the Sector?**
- **QUESTION 5: Is the far reaching model the right one to propose to the SoS?**
- **QUESTION 6: Is the combined accountabilities model the right one to propose?**
- **QUESTION 7: Is this phased approach to implementation right?**
- **QUESTION 8: Is the content of the proposed Phase 2 right?**

Questions and Answers

- Sir George Sweeney
- Cath Hardaker

Website

<http://www.feselfregulation.org.uk>

Table discussions, feedback and lunch

- You have 1 or more consultation questions
- Appoint a table chairman
- Appoint a table scribe
- Debate and record your views on the questions posed
- Log the key views on one sheet of paper for feedback
- Debate until 12.45pm
- Brief FEEDBACK SESSION until 13.30pm
- Hand in all other papers for our information
- LUNCH
- Fill in and submit your event feedback form