

Self Regulation and what it means for Governors



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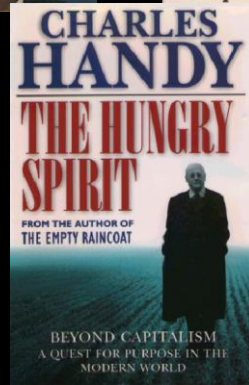
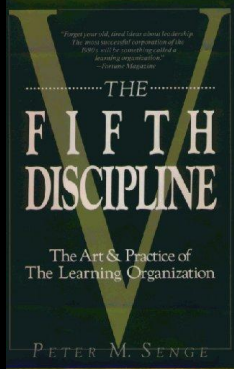
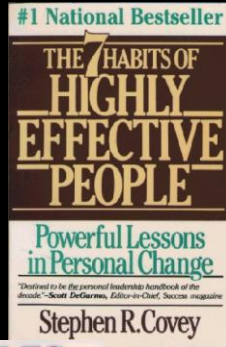
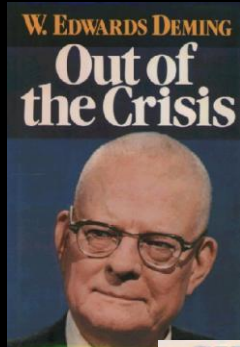
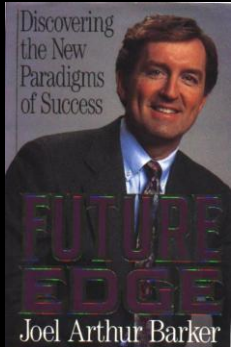
Ambition: *our ultimate ambition*

- A further education sector comprising strong, confident, autonomous, demand-led institutions acting both individually and collectively within a self regulation system.
- Such developments will enable providers to progressively lead, shape and influence funding, qualification, accreditation and quality assurance systems including inspection in the sector.

Ambition: *strong international examples*

- International examples of strong FE systems
 - Community Colleges in Canada & US*
 - Technical and Further Education in Australia*
 - Technical Institutes in France & Germany*
- all have strong, autonomous, confident institutions at their heart
- do not require a centralised, planned and regulated system
- take responsibility for their own futures
- are responsive to needs to learners, employers, communities and are committed to continuous improvement.

Ambition: *underlying business philosophy*



“I maintain companies are no different from individuals, or vice versa. Both are responsible for their own destinies, and for their own behaviour. Both need to work out their underlying purpose, which is what gives them their uniqueness.”

- Handy, *The Hungry Spirit*

‘The public victory’ – the journey from dependence to independence to interdependence.

- Covey

“A corporation cannot be ‘excellent’ in the sense of having arrived at a permanent excellence; it is always in the state of practising the disciplines of learning, of becoming better or worse”

- Senge, *The Fifth Discipline*

Context

- Current regulatory overhead

'Assuming an FE Sector of some £10bn, costs conservatively estimated at £265m amount to some 2.6%.'

- PKF report, February 2007

- Representation of Further Education
- Changes in Machinery of Government

Context: *MoG consultation*

- An underpinning principle of the MoG changes is:
 - ‘The existence of strong autonomous and self-improving FE providers should be supported; it is better that institutions self-regulate within a clear framework of national strategy and targets.’
 - *John Denham, MP and Ed Balls, MP*
Nov 2007

Progress

Background

*Report:
'Trust in FE'
Bureaucracy
Task Force
2001*

*Secretary
of State:
Challenge
to sector to
present a proposal
for Self-Regulation
in FE
Nov 2006*

PHASE 1 February 2007 - March 2007

Phase 1
Proposition
and
Consultation

PHASE 2 August 2007 - March 2008

Phase 2
proposition
'Realising
Self Regulation
in the Further
Education Sector'

Phase 2
Programme of
work

PHASE 3 April 2008 - Sept 2008

Phase 3
Programme
of work

Self Regulation Implementation Group
And Project Team

Single Voice
for Self Regulation

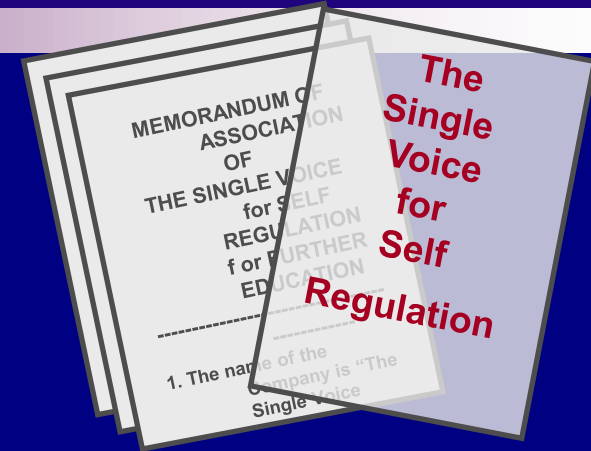
Progress

- Proposition, 'Realising Self Regulation in the Further Education Sector' sent to Minister November 2007

Development of a Single Voice for Self Regulation

- Single Voice for Self Regulation (for Further Education) company formed 18 December 2007 and has met in January and February 2008

Progress: *The Single Voice for Self Regulation*



Members:

Company inauguration
18 December 2007



The Association of National Specialist Colleges
Natspec www.natspec.org.uk



Progress: *The Single Voice for Self Regulation*

- Nine representative bodies - ALP, AoC, HOLEX, Landex, MEG, NATSPEC, NIACE, SFCF, 157 Group.
- Represents the sector in strategic dialogue with government on regulatory matters.
- Responsible for devising and maintaining the framework for self regulation and developing a rolling programme of activity for this purpose.

Progress

Sector Ownership of the Improvement Function

- Single Voice responded to Improvement Agency consultation January 2008
- Single Voice represented on interim board for new Improvement Agency

Performance Management

- Further development of Framework for Excellence through involvement in FfE Inspection and Stakeholder Reputation Group and Sponsorship board
- Multi-agency working group on performance management system

Progress

Gatekeeping and regulatory functions

- Single Voice has established relationships with:
 - the *information authority*
 - Bureaucracy Reduction Group
 - FE Communications Gateway Panel
 - FE Reputation Strategy Group
 - National Learner Panel
- Study of the functions and associated resources of gatekeeping groups

Future Plans

A programme of work has been developed for phase 3 of realising self regulation around the following workstrands:

WORKSTRAND 1 – Further development of the Single Voice for Self Regulation

- Strategic dialogue with DIUS, DCFS & DWP on regulatory matters
- Strategic relationship with FE Improvement Body
- Regulatory body for FE
- National improvement priorities research
- Programme for equality & diversity targets

Future plans: *phase 3 programme of work*

WORKSTRAND 2 – Governance

- Develop 'Code of Conduct' for FE sector self regulation in full consultation with provider network
- Develop 'Code of Conduct' for individual providers
- Single Voice provider engagement strategy on underperformance, pursuit of excellence, reputation
- Advise DIUS on review of Instrument and Articles of Government for sector
- Advise FE Improvement body on priorities for improvement, intervention and developmental needs of governors and executives.

Future plans: *phase 3 programme of work*

WORKSTRAND 3 – Performance Management

- Organisational review and development
- Self assessment
- Peer review and development
- Support and intervention
- Single Quality Framework

Performance management within a self regulating FE system

NATIONAL IMPROVEMENT STRATEGY

PROVIDER RESPONSIBILITIES

Organisational Review and Development (ORD)

- identifying client needs
- setting performance goals / standards
- managing performance risk
- benchmarking performance
- self assessing performance
- targeting underperformance
- identifying and spreading good practice
- developing a self-improving culture

COLLABORATIVE RESPONSIBILITIES

Peer Review and Development (PRD)

- benchmarking performance
- validating self assessment
- identifying improvement needs and opportunities
- sharing / transferring practice
- joint planning / action on underperformance
- monitoring impact

SECTOR-WIDE RESPONSIBILITIES

Single Quality Framework

Guidance Standards for ORD and PRD

Improvement Support

Intervention Strategies

EXTERNAL REGULATION – Inspection / Framework for Excellence

Future plans: *phase 3 programme of work*

WORKSTRAND 4 – Communications

- Communications strategy in context of MoG consultation
- Develop understanding of self regulation
- Regional and national events and conferences
- Communication channels from Single Voice through member organisations
- Interactive website

Future plans: *phase 3 programme of work*

WORKSTRAND 5 – Gatekeeping and Regulatory functions

- Develop strategic relationship between Single Voice and:
 - *the information authority*
 - Bureaucracy Reduction Group
 - FE Communications Gateway Panel
 - FE Reputation Strategy Group
- Explore sponsorship and co-ordination role of the Single Voice
- Involvement in the planning and analysis of the National Learner Satisfaction Survey
- Strategic relationship with the National Learner Panel

Future plans: *key milestones*

2008

- March MoG consultation
- May Provider presence on FE Improvement Board and Council
- May Develop Code of Conduct, and run regional development events
- June National improvement priorities research
- July Publish guidance on self assessment

What it means for Governors

- Representation of the sector
- Developing a Code of Conduct
- Managing performance
 - Organisational review and development
 - Developing peer relationships with other providers and governors
 - Targeting underperformance, including SNI
 - Developing effective risk management approaches

***Workshop 3e: Self improvement in a self regulating FE system,
Phil Cox & Sir George Sweeney
Saturday 10.00 – 11.15am***

Realising Self Regulation

find out more...



www.feselfregulation.org.uk

Includes :
proposition, Single Voice papers, ambitions,
this presentation and others